¹ CLAHRC implementation: a mini guide

- 2 Support for CLAHRC partner research project implementation in
- 3 health and social care practice

- Overview
- This guide aims to support NIHR CLAHRC research project teams to develop implementation summaries for their projects. These summaries will provide a valuable base for those considering implementing the research as well as help document impact of the CLAHRC.

We think providing clear information supports better decision-making. Advice and links to resources on writing clearly are included in this guide.

We also introduce content and support offered with NICE guidance. The guidance provides examples of communicating to a range of stakeholder to achieve impact as well as offering some information that could be used in implementation summaries.

 The summaries will be developed by **CLAHRC partner research teams** investigating interventions or services. An **online template** for the implementation summaries will support CLAHRC research teams' discussions and comprehensive consideration of implications for implementation of their research. The process of using the template will support teams to build-in relevant content, in an accessible format, for those implementing.

CLAHRC research teams have extensive, expert knowledge about their research topics. The implementation support template uses a format from NICE 'quick tips' and 'into practice' guides for putting guidance into practice. This approach intends to help draw out and structure teams' expertise. The process will identify gaps in information and capacity and help guide addressing these gaps. Other support to implementation from NICE includes good practice around considered use of language and signposting where effective implementation may rest with particular individuals or functions.

A range of research, practice and policy groups produce implementation support tools. These could be used with the implementation support template introduced in this guide and may be particularly suited to specific areas such as public health or local government services. For example, a selection of tools are available from Canadian National Collaborating Centre for Methods and Tools (NCCMT), including a tool for evidence-informed decision making in <u>public health</u>.

The template will focus on 1 approach to support consistency across project. We acknowledge that there are other useful approaches.

- NICE implementation support
- NICE have resources to support implementation of specific guidelines under the <u>Tools and</u> resources section of a guideline webpage. These include:
 - Baseline assessment
 - Resource impact templates
 - Resource impact reports

Considerations used in developing NICE guidance

The guidelines themselves present resource use and outcomes as part of the evidence base as well as committee's considerations in agreeing recommendations. Considerations can include views on the quality of the evidence, applicability, uncertainties, balance of benefits and harms and relative merits of different aspects of the evidence base. Committees also consider cost effectiveness, equalities and ethical issues and should make explicit the factors they value and weight in decisions. They can include 'practicality of implementation' in decisions. The considerations sections of NICE guidance in areas relevant to CLAHRC research could provide a useful reference source for discussing and completing the implementation support template.

- NICE implementation guides
- NICE has also produced a small set of '<u>Into practice</u>' guides to implementation in general.

 These include:
 - Into practice the guide
 - Quick tips to help you put our guidance into practice
 - How to change practice: understand, identify and overcome barriers to change

- The 'quick tips' for planning implementation list the following key actions:
- Raise awareness (routine channels); Identify a lead with an interest; Carry out a baseline assessment against the recommendations, Think about what data you need to measure improvement and collection; Develop an action plan with the steps; Include milestones and a business case; Implement the action plan with oversight; Review and monitor.

More recent NICE guidelines also list these 'quick tips' within the 'Putting this guideline into practice' section (see appendix 1).

There are other implementation orientated activities and resources produced by NICE, including **shared learning**, **resource planners** (which can help with baseline assessment and costings) the work of **field consultants**.

- Signposting and use of active language by NICE
- NICE <u>guidelines in public health</u> have used a set format for recommendations that help identify the focus in terms of 'whose health will benefit', 'who should take action' and 'what action should they take'. Guidelines also use language deliberately in phrasing recommendations to respect service user and professional roles in decision-making and to indicate strength of recommendation. NICE guidelines also use active language, format recommendations as instructions and use plain English to support readability and uptake. Advice for writing to improve implementation is included in the Writing for NICE guide.

Other implementation support tools

A range of established and emerging approaches and tools can be identified. Therefore, choosing among them may be a challenge. This includes consideration of suitability across different intervention types, services or populations. Tools also vary in focus, with some implementation tools developed for local costing of a specific intervention where others offer broad frameworks for considering domains relevant to a range of commissioning decisions. An example of the latter follows.

The Canadian National Collaborating Centre for Methods and Tools (NCCMT) supports what it terms evidence-informed public health (EIPH). Its tools include a stepped, cyclical approach to research and evidence-informed decision-making. The NCCMT decision making framework is quite broad and devolves details to local commissioners.

NCCMT: multiple domains considered in evidence-informed decision making in public health



See: http://www.nccmt.ca/about/eiph

NCCMT suggest 'valuable evidence from a variety of sources' is considered and that professional 'expertise' is used to integrate the relevant factors in decision-making. It lists:

- community health issues and local contextexisting public health resources
- community and political climate
- the best available research findings

NCCMT also maintain a <u>repository</u> of **tools**, **methods**, **capacity development** and **case studies**. Content could be relevant to capacity development of CLAHRC research teams.

Support, evaluation and improvement

We plan to collate the implementation support templates and offer teams support for particular sections they experience difficulty with.

We also aim to evaluate teams experience with using the template in order to improve the process of developing implementation summarises for their projects. Common gaps in evidence for the template will also be collated and reported. Commissioners, service managers and other users will be invited to provide feedback to improve uptake, usefulness

and to develop CLAHRC research-to-practice case studies. We may also use fieldwork in the

form of focus groups or brief interviews with research team representatives, service
managers and commissioners to supplement the evaluation methods above.

Initial findings with be reported to the Leadership Team and shared with the Priority
Partnership Programme.

Summary for mail out and webpage

This guide aims to support NIHR CLAHRC research project teams to develop implementation summaries for their projects. These summaries will provide a valuable base for those considering implementing the research as well as help document impact of the CLAHRC.

The summaries will be developed by **CLAHRC partner research teams** investigating interventions or services using our new **online template**. The template is intended to support and record CLAHRC research teams' discussions and comprehensive consideration of implications for implementation of their research. Using the template will support teams to build-in relevant content, in an accessible format, for all those making implementation decisions.

We know CLAHRC research teams have extensive, expert knowledge about their research topics. The **implementation support template** uses a format from NICE 'quick tips' and 'into practice' guides for putting guidance into practice. This approach intends to help draw out and structure teams' expertise. The process can also identify gaps in information and capacity and help guide addressing such gaps. Other support to implementation from NICE includes good practice around considered use of <u>language and signposting</u> where effective implementation may rest with particular individuals or functions.

The implementation summary template also includes headings to record links with CLAHRC North West Coast **themes** and key **considerations** around the quality of evidence available.

NICE 'quick tip' 1-8	Question to ask of your project	Your responses ¹
1. Raise awareness through routine communication	How can awareness be raised? Which	
channels, such as email or newsletters, regular meetings,	channels are available or could be	
internal staff briefings and other communications with all	developed? What things could be	
relevant partner organisations. Identify things staff can	implemented within practice 'straight	
include in their own practice straight away.	away'?	
2. Identify a lead with an interest in the topic to champion	Who has interest, can influence or	
the guideline and motivate others to support its use and	motive or report issues locally?	
make service changes, and to find out any significant issues		
locally. 3. Carry out a baseline assessment against the	What sources of baseline data are	
recommendations to find out whether there are gaps in	there? What gaps in services are	
current service provision.	identified?	
4. Think about what data you need to measure	What data are required to measure	
improvement and plan how you will collect it. You may	(implementation and) improvement?	
want to work with other health and social care organisations	How may these data be accessed or	
and specialist groups to compare current practice with the	collected? What local issues are there	
recommendations. This may also help identify local issues	that may hinder implementation?	
that will slow or prevent implementation.		
5. Develop an action plan , with the steps needed to put the	What steps are needed to get the	
guideline into practice, and make sure it is ready as soon as	intervention/ changes into practice?	
possible. Big, complex changes may take longer to	What steps will help the change	
implement, but some may be quick and easy to do. An	happen in a timely manner? Are there	
action plan will help in both cases.	steps that can be completed quickly or	
	easily?	
6. For very big changes include milestones and a business	Who should be invited to join a project	
case, which will set out additional costs, savings and	group (including the lead) to develop	
possible areas for disinvestment. A small project group	plans and implement large changes?	

NICE 'quick tip' 1-8	Question to ask of your project	Your responses ¹
could develop the action plan. The group might include the guideline champion, a senior organisational sponsor, staff involved in the associated services, finance and information professionals.	What are the key milestones? Which data are required for a business case? What are the resource implications and impacts of the intervention/ change? Note additional costs, savings and opportunities for disinvestment elsewhere in the service.	
7. Implement the action plan with oversight from the lead and the project group. Big projects may also need project management support.	What project management support is needed to support the action plan?	
8. Review and monitor how well the guideline is being implemented through the project group. Share progress with those involved in making improvements, as well as relevant boards and local partners.	How will implementation be monitored (including outcomes, data)? What process will b used to review evidence on implementation? How can progress be shared with key stakeholders?	
Notes Links with key CLAHRC NWC themes and objectives, such as: Reducing inequalities Delivering personalised health and care Managing complex needs Improving mental health Improving public health Mnowledge Exchange, Engagement, and Effective Implementation		

NICE 'quick tip' 1-8	Question to ask of your project	Your responses ¹
Notes		
Key considerations that support a decision to implement:		
The quality of the evidence		
Strength of effects		
Cost effectiveness (prospects for)		
Innovation		
Equalities		
Other key considerations		

1 Note gaps or difficulties with finding the information for the implementation template. Add brief notes where applicable (for example, if information is likely to change soon or to reference the source of information).

- 155 Appendix 1
- 156 Putting this guideline into practice from NICE
- 157 NICE has produced <u>tools and resources</u> to help you put this guideline into practice.
- 158 Putting recommendations into practice can take time. How long may vary from guideline to
- guideline, and depends on how much change in practice or services is needed.
- 160 Implementing change is most effective when aligned with local priorities.
- 161 Changes recommended for clinical practice that can be done quickly like changes in
- prescribing practice should be shared quickly. This is because healthcare professionals
- should use guidelines to guide their work as is required by professional regulating bodies
- such as the General Medical and Nursing and Midwifery Councils.
- 165 Changes should be implemented as soon as possible, unless there is a good reason for not
- doing so (for example, if it would be better value for money if a package of
- recommendations were all implemented at once).
- 168 Different organisations may need different approaches to implementation, depending on
- their size and function. Sometimes individual practitioners may be able to respond to
- 170 recommendations to improve their practice more quickly than large organisations.
- 171 Here are some pointers to help organisations put NICE guidelines into practice:
- 172 1. Raise awareness through routine communication channels, such as email or newsletters,
- 173 regular meetings, internal staff briefings and other communications with all relevant partner
- organisations. Identify things staff can include in their own practice straight away.
- 175 2. **Identify a lead** with an interest in the topic to champion the guideline and motivate
- others to support its use and make service changes, and to find out any significant issues
- 177 locally.
- 3. Carry out a baseline assessment against the recommendations to find out whether there
- are gaps in current service provision.
- 4. Think about what data you need to measure improvement and plan how you will collect
- it. You may want to work with other health and social care organisations and specialist
- groups to compare current practice with the recommendations. This may also help identify
- local issues that will slow or prevent implementation.
- 5. **Develop an action plan**, with the steps needed to put the guideline into practice, and
- make sure it is ready as soon as possible. Big, complex changes may take longer to
- implement, but some may be quick and easy to do. An action plan will help in both cases.
- 187 6. For very big changes include milestones and a business case, which will set out additional
- costs, savings and possible areas for disinvestment. A small project group could develop the
- action plan. The group might include the guideline champion, a senior organisational
- sponsor, staff involved in the associated services, finance and information professionals.
- 191 7. Implement the action plan with oversight from the lead and the project group. Big
- 192 projects may also need project management support.

194	8. Review and monitor how well the guideline is being implemented through the project group. Share progress with those involved in making improvements, as well as relevant
195	boards and local partners.
196 197	NICE provides a comprehensive programme of support and resources to maximise uptake and use of evidence and guidance. See our <u>into practice</u> pages for more information.
198	Also see Leng G, Moore V, Abraham S, editors (2014) Achieving high quality care – practical
199	experience from NICE. Chichester: Wiley. [Wiley online available via University Library]